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Attorney Docket No.: 02-00.06.CON

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent

Patent No.:
Issue Date:
Application No.: 10/658,229
Filing Date: 09/09/2003
First Named Inventor: DU
Assignee: O2 Micro International Ltd.
Entitled: Audio Controller for Portable Electronic Devices

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**POWER OF ATTORNEY BY ASSIGNEE OF ENTIRE INTEREST
(REVOCATION OF PRIOR POWERS)
and
CHANGE OF CORRESPONDENCE ADDRESS**

As assignee of record of the entire interest of the above identified

☒ application,
☐ patent,

REVOCATION OF PRIOR POWERS OF ATTORNEY

☒ I hereby revoke all previous powers of attorney given in the above-identified application/patent.

NEW POWER OF ATTORNEY

☒ I hereby appoint the practitioners associated with the customer Number: 41066

☒ Please change the correspondence address for the above-identified application to:
The address associated with Customer Number: 41066

I am the:

☒ Assignee of record of the entire interest. *Statement under 37 CFR 3.73(b) is below.*

CERTIFICATE UNDER 37 CFR 3.73(b)

O2 MICRO INTERNATIONAL LTD., a Cayman Islands corporation, certifies that it is the assignee of the entire right, title and interest in the patent identified above by virtue of:

☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in The United States Patent and Trademark Office at
Reel 011085. Frame 0652.

☒ Copies of assignments or other documents in the chain of title are attached.

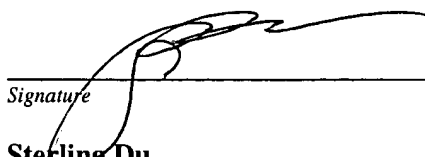
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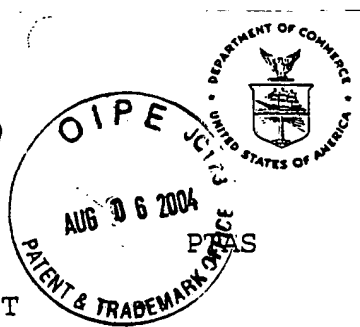
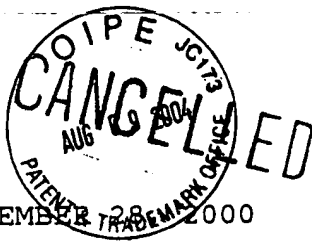
The undersigned has reviewed all the documents in the chain of title of the patent application/patent identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) avers that the undersigned is empowered to sign this certificate on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

July 21, 2004
Date


Signature
Sterling Du
Typed or Printed Name
CEO
Title



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

DECEMBER 28 2000

EDMUND PAUL PFLEGER
130 W. CUSHING STREET
TUCSON, AZ 85701



101551867A

CORRECTED NOTICE

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 08/29/2000

REEL/FRAME: 011085/0652
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
DU, STERLING

DOC DATE: 08/29/2000

ASSIGNOR:
CHAN, REGINIA

DOC DATE: 08/29/2000

ASSIGNEE:
O2 MICRO INTERNATIONAL LIMITED
P.O. BOX 1794, GT.
GRAND CAYMAN, CAYMAN ISLANDS

CAYMAN ISLANDS

SERIAL NUMBER: 09650515
PATENT NUMBER:

FILING DATE: 08/29/2000
ISSUE DATE:

RECEIVED

JAN - 8 2001

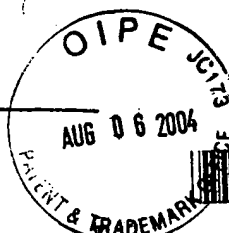
HAYES SOLOWAY

011085/0652 PAGE 2

MARGARET LASALLE, PARALEGAL
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

FORM PTO-1595 (Modified)
(Rev. 6-93)
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12-27-2000

Jocket No.: O2 Micro 00.06

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

101551867

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

Sterling Du
Reginia Chan

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: August 29, 2000

2. Name and address of receiving party(ies):

Name: O2 Micro International Limited

Internal Address:

Street Address: P.O. Box 1794, Gt.

Grand Cayman, Cayman Islands, British West Indies

City: State: ZIP:

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

09/650515

If this document is being filed together with a new application, the execution date of the application is: August 29, 2000

9/18/2000 PTO Application 09/650515

3 FC:581

40.00 00

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Edmund Paul Pfleger

Internal Address:

Street Address: 130 W. Cushing Street

City: Tucson State: AZ ZIP: 85701

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

- ☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account
credit card authorization form PTO-2038 enclosed.
☐ Authorized to be charged to deposit account

8. Deposit account number:

08-1391

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

EDMUND PAUL PFLEGER

Name of Person Signing

Signature

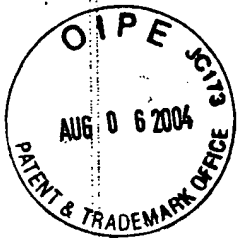
Date

Total number of pages including cover sheet, attachments, and document:

4

8/29/00

PATENT
REEL: 011085 FRAME: 0662



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLN. OF: DU et al.)
SERIAL NO: 09/650,515)
FILED: August 29, 2000)
FOR: Audio Controller for Portable Electronic ...)
GROUP: 2652) DOCKET: O2 Micro 00.06

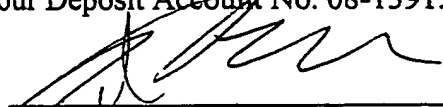
US Patent and Trademark Office
Assignment Division
Box Assignments
CG-4, 1213 Jefferson Davis Hwy, Suite 320
Washington, D.C. 20231

REQUEST FOR CORRECTION OF
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

Dear Sir:

Enclosed is a copy of the Notice of Recordation of Assignment Document in which we have marked in red the correction for a clerical error contained therein, i.e., "02" should be "O2". Also enclosed is a copy of the Assignment and Cover Sheet in support of our request.

We respectfully request that you correct your records and send a corrected Notice of Recordation. We believe there are no fees involved with this correction. However, in the event any fees are payable, please charge them to our Deposit Account No. 08-1391.


Edmund Paul Pfleger
Attorney for Applicant
Reg. No. 41,252

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner of Patents and Trademarks, CG-4, 1213 Jefferson Davis Hwy, Suite 320, Washington, D.C. 20231 on November 21, 2000 at Tucson, Arizona.

By: 

SOLOWAY,
GROSSMAN
E. P.C.
X 3042
SHING ST.
85702-3042
882.7623
882.7643

ASSIGNMENT

We, Sterling Du of 895 Llima Court, Palo Alto, California 94306 and Reginia Chan of 1548 Plateau Avenue, Los Altos, California 94024, both of the United States of America, having invented certain inventions and improvements in **Audio Controller for Portable Electronic Devices** and having executed an application for Letters Patent of the United States of America describing the same and based thereon respectively on the 29th day of August, 2000; and the 29th day of August, 2000, for good and valuable consideration, the receipt of which is hereby acknowledged from

O2 MICRO INTERNATIONAL LIMITED, a Cayman Islands corporation having its principal place of business at P.O. Box 1794 Gt., Grand Cayman, Cayman Islands, British West Indies (hereinafter called the Assignee), do hereby sell, assign and transfer unto the Assignee, its successors, and assigns our entire right, title, and interest, in and throughout the United States of America (including its territories and dependencies) and all countries foreign thereto, in and to and under said application and the inventions and any of them therein set forth and described, and any and all patents and like rights of exclusion (including extensions thereof) of any country which may be granted on or for said inventions or any of them or any part thereof or on said application or any divisional, continuing, reissue, or other patent application based thereon;

And for the same consideration we do also hereby sell, assign, and transfer unto the Assignee, its successors, and assigns all our rights under the International Convention for the Protection of Industrial Property and all other treaties of like purpose in respect of said inventions and any of them and all parts thereof and said application, and we do hereby authorize the Assignee, its successors, and assigns to apply in our name or its or their own name or names for patents and like rights of exclusion on or for said inventions or any of them or any part thereof in all countries claiming if it or they so desire the priority of the filing date of said application under the provisions of said Convention or any such other treaty;

And for the same consideration, we do hereby agree for ourselves and for our heirs, executors, and administrators, promptly upon request of the Assignee, its successors, or assigns, to execute and deliver without further compensation any power of attorney, assignment, original, divisional, continuing, reissue or other application or applications for patent or patents or like

rights of exclusion of any country, or other lawful documents and any further assurances that may be deemed necessary or desirable by the Assignee, its successors, or assigns fully to secure to it or them said right, title, and interest as aforesaid in and to said inventions and any of them and all parts thereof and in and to and under said several patents and like rights of exclusion (including extensions thereof) or any of them, all, however, at the expense of the Assignee, its successors, or assigns;

And we do hereby authorize and request the Commissioner of Patents and Trademarks of the United States of America and the corresponding Officer of each country foreign thereto to issue to the Assignee, its successors, or assigns, any and all patents and like rights of exclusion which may be granted in any country upon said United States application or other applications or any of them or on or for said inventions or any of them or any part thereof; and we do hereby covenant for ourselves and our legal representatives and agree with the Assignee, its successors, and assigns that we have granted no right or license to make, use, or sell said inventions or any of them or any part thereof to anyone except the Assignee, that prior to the execution of this deed our right, title, and interest in and to said inventions or any of them or any part thereof has not been otherwise encumbered by us, and that we have not executed and will not execute any instrument in conflict herewith.

IN WITNESS WHEREOF, we hereunto set our hands and seals on the day and year hereinafter noted.

8-29-2000

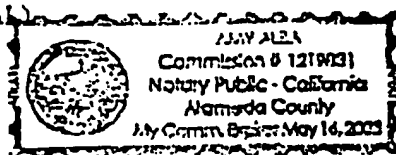
Date

Sterling Du

UNITED STATES OF AMERICA)
STATE OF CALIFORNIA) ss:
COUNTY OF)

On this 29 day of August, 2000, Sterling Du personally appeared before me, a Notary Public in and for the above-mentioned county, to me known, and known to me to be the individual described in and who executed the foregoing document, and he duly acknowledged to me that he executed same for the uses and purposes therein expressed.

(SEAL)



2

Amy Alba NOTARY PUBLIC

Notary Public

8/29/00

Date

Regina Chan

Reginia Chan

UNITED STATES OF AMERICA)
STATE OF CALIFORNIA) ss:
COUNTY OF)

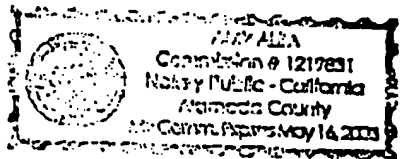
On this 29 day of August, 2000, Regina Chan personally appeared before me, a Notary Public in and for the above-mentioned county, to me known, and known to me to be the individual described in and who executed the foregoing document, and he duly acknowledged to me that he executed same for the uses and purposes therein expressed.

(SEAL)

Regina Chan

Notary Public

AMY ALBA
NOTARY PUBLIC



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Sterling Du
Reginia Chan

Additional names(s) of conveying party(ies)

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☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

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Grand Cayman, Cayman Islands, British West Indies

City: _____ State: _____ ZIP: _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

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☐ Authorized to be charged to deposit account

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08-1391

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EDMUND PAUL PFLEGER

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document:

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ASSIGNMENT

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O2 MICRO INTERNATIONAL LIMITED, a Cayman Islands corporation having its principal place of business at P.O. Box 1794 Gt., Grand Cayman, Cayman Islands, British West Indies (hereinafter called the Assignee), do hereby sell, assign and transfer unto the Assignee, its successors, and assigns our entire right, title, and interest, in and throughout the United States of America (including its territories and dependencies) and all countries foreign thereto, in and to and under said application and the inventions and any of them therein set forth and described, and any and all patents and like rights of exclusion (including extensions thereof) of any country which may be granted on or for said inventions or any of them or any part thereof or on said application or any divisional, continuing, reissue, or other patent application based thereon;

And for the same consideration we do also hereby sell, assign, and transfer unto the Assignee, its successors, and assigns all our rights under the International Convention for the Protection of Industrial Property and all other treaties of like purpose in respect of said inventions and any of them and all parts thereof and said application, and we do hereby authorize the Assignee, its successors, and assigns to apply in our name or its or their own name or names for patents and like rights of exclusion on or for said inventions or any of them or any part thereof in all countries claiming if it or they so desire the priority of the filing date of said application under the provisions of said Convention or any such other treaty;

And for the same consideration, we do hereby agree for ourselves and for our heirs, executors, and administrators, promptly upon request of the Assignee, its successors, or assigns, to execute and deliver without further compensation any power of attorney, assignment, original, divisional, continuing, reissue or other application or applications for patent or patents or like

rights of exclusion of any country, or other lawful documents and any further assurances that may be deemed necessary or desirable by the Assignee, its successors, or assigns fully to secure to it or them said right, title, and interest as aforesaid in and to said inventions and any of them and all parts thereof and in and to and under said several patents and like rights of exclusion (including extensions thereof) or any of them, all, however, at the expense of the Assignee, its successors, or assigns:

And we do hereby authorize and request the Commissioner of Patents and Trademarks of the United States of America and the corresponding Officer of each country foreign thereto to issue to the Assignee, its successors, or assigns, any and all patents and like rights of exclusion which may be granted in any country upon said United States application or other applications or any of them or on or for said inventions or any of them or any part thereof; and we do hereby covenant for ourselves and our legal representatives and agree with the Assignee, its successors, and assigns that we have granted no right or license to make, use, or sell said inventions or any of them or any part thereof to anyone except the Assignee, that prior to the execution of this deed our right, title, and interest in and to said inventions or any of them or any part thereof has not been otherwise encumbered by us, and that we have not executed and will not execute any instrument in conflict herewith.

IN WITNESS WHEREOF, we hereunto set our hands and seals on the day and year hereinafter noted.

8-29-2000

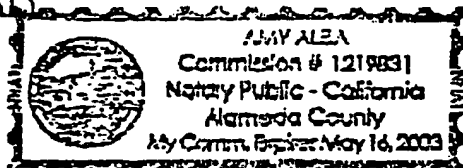
Date


Sterling Du

UNITED STATES OF AMERICA)
STATE OF CALIFORNIA) ss:
COUNTY OF)

On this 29 day of August, 2000, Sterling Du personally appeared before me, a Notary Public in and for the above-mentioned country, to me known, and known to me to be the individual described in and who executed the foregoing document, and he duly acknowledged to me that he executed same for the uses and purposes therein expressed.

(SEAL)




AMY ALLEN NOTARY PUBLIC

Notary Public

8/29/00

Date

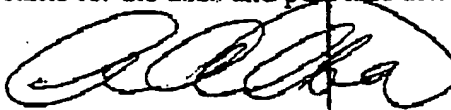


Reginia Chan

UNITED STATES OF AMERICA)
STATE OF CALIFORNIA) ss:
COUNTY OF)

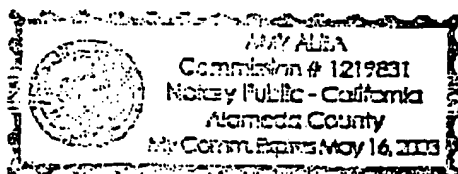
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(SEAL)



Notary Public

AMY ALBA
NOTARY PUBLIC



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